

**UNITED STATES PATENT AND TRADEMARK OFFICE**  
**CERTIFICATE OF CORRECTION**

PATENT NUMBER : 7,483,851  
ISSUE DATE : January 27, 2009  
INVENTOR(S) : John K. Brixius, et al.

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

In the specification:

Col. 14, line 19, delete "M" and insert -- art --.

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PATENT NO. 7,483,851

No. of additional copies

⇒ 3

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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proceeds to the participants. Such mechanisms will be known to those of skill in the art and can be incorporated in the system for providing incremental restricted security distribution instructions disclosed above.

While the invention has been particularly shown and described with reference to  
5 preferred embodiments thereof, it will be understood by those of skill in the art that various changes in the form and details may be made without departing from the spirit and scope of the invention. In particular, while the invention has been discussed with regard to the distribution of physical restricted stock from venture capitalists, the methods and systems of the invention can also be used for Venture Capital distribution of other securities, and particularly, securities which  
10 must be represented at one or more stages in the distribution as physical certificates. In addition, the distributions can be from various other types originating parties.

It should also be noted that while the term "transfer agent" has been used throughout, such an entity is not limited in scope to a conventional "transfer agent" as it may be generally understood by those of ordinary skill in the art. Instead, this term should be understood  
15 as encompassing other entities to which custody of the physical securities is given and which can perform the which perform the distributions as described above and recited in the claims, even if such an entity would not be considered a "transfer agent" in other contexts.

Docket No. 17209-618

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): John K. Brixius, et al.

Patent No.: 7,483,851

Issued Date: January 27, 2009

For: METHOD AND SYSTEM FOR VENTURE CAPITALIST  
DISTRIBUTION OF STOCK

**REQUEST FOR CERTIFICATE OF CORRECTION  
UNDER 37 CFR § 1.322 OR § 1.323**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to the provisions of 35 USC §245, and 37 CFR §1.322, it is respectfully requested that a Certificate of Correction be issued to correct a typographical error in the above-referenced printed patent which is due to a Patent Office error. A completed Form 1050 is enclosed which shows the error. Also enclosed is a copy of page 27 of the specification to show that the typographical error in the specification was not introduced by the Applicant.

No fee is believed due. However, the Commissioner is hereby authorized to charge any additional fees which may be required for this Certificate of Correction, or credit any overpayment to Deposit Account 03-1240, order no. 17209-618.

It is respectfully requested that a Certificate of Correction be issued to correct this printing error.

Respectfully submitted,  
Chadbourne & Parke LLP

Dated: July 9, 2009

/Walter G. Hanchuk/  
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